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QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By Deborah Berwick
Deborah Berwick

Attorney Docket No. 40-002710US
Client Ref. No. 01-002710US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jeffery A. Whiteford, et al.

Application No.: 10/656,910

Filed: September 4, 2003

For: ORGANIC SPECIES THAT
FACILITATE CHARGE TRANSFER
TO OR FROM NANOSTRUCTURES

Examiner: Unassigned

Art Unit: 1755

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action on the merits per 37 CFR 1.97(b)(3). However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Angela P. Horne, Ph.D.
Reg. No. 41,079

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877

APH:db



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| | | | |
|---------------------------------|--|------------------------|----------------------|
| Substitute for form 1449A-B/PTO | | Complete if Known | |
| | | Application Number | 10/656,910 |
| | | Filing Date | September 4, 2003 |
| | | First Named Inventor | Jeffery A. Whiteford |
| | | Group Art Unit | 1755 |
| | | Examiner Name | Unassigned |
| | | Attorney Docket Number | 40-002710US |
| | | Date Submitted | July 1, 2004 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|----------|----------------------|----------------------|---|--|
| Examiner Initials | Cite No. | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
| | | Number | Kind Code (if known) | | |
| | AA | 2004-0026684 | A1 | Nanosys, Inc. | 02-12-2004 |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|----------|-------------------------|-----------|----------------------|---|--|
| Examiner Initials | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
| | | Office | Number | Kind Code (if known) | | |
| | AB | WO | 04/022637 | A2 | Nanosys, Inc. | 03-18-2004 |
| | AC | WO | 04/023527 | A2 | Nanosys, Inc. | 03-18-2004 |
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| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | | | | |
|---|----------|---|--|--|--|---|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | T |
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| Examiner Signature | Date Considered |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|---|------------------------|----------------------|
| | | Application Number | 10/656,910 |
| | | Filing Date | September 4, 2003 |
| | | First Named Inventor | Jeffery A. Whiteford |
| | | Group Art Unit | 1755 |
| | | Examiner Name | Unassigned |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | 40-002710US |

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers <i>(for an Application)</i> | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i> |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> receipt acknowledgment postcard |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------------|--|
| Firm or Individual name | Angela P. Horne, Ph.D., Reg. No. 41,079, Quine Intellectual Property Law Group, P.C. |
| Signature | |
| Date | July 1, 2004 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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|-----------------------|-----------------|------|--------------|
| Typed or printed name | Deborah Berwick | | |
| Signature | | Date | July 1, 2004 |